

EAST HERTS COUNCIL

EXECUTIVE – 11 SEPTEMBER 2018

REPORT BY EXECUTIVE MEMBER FOR FINANCE AND SUPPORT
SERVICES

RISK MANAGEMENT ANNUAL REPORT 2018/19

WARD(S) AFFECTED: ALL

Purpose/Summary of Report

- To advise Members of the proposed content of the Strategic Risk Register for 2018 / 19.

<u>RECOMMENDATION FOR THE EXECUTIVE: That:</u>	
(A)	the content of the Strategic Risk Register be agreed.

1.0 Background

1.1 During 2017/18 it was agreed that an annual risk report would be submitted with updates received on an exception basis only rather than reports being submitted four times per year, accompanied by the Strategic Risk register. This is the first annual report received by the Executive.

2.0 Report

2.1 In the past the Strategic Risk Register has been updated quarterly and reports submitted four times a year to Performance, Audit and Governance Scrutiny Committee and then to the Executive. However during 2017/18 it was agreed that only an annual risk report would be submitted with

updates provided on an exception basis, i.e. a material change such as a new risk being identified or a score changing. Committees no longer receive the full Strategic Risk Register with details of controls implemented or proposed, although risks, including controls, can still be viewed on the council's performance management system Pentana Performance. <https://eastherts.pentanarpm.uk/login> (Formerly called Covalent). This change was reflected in the Risk Management Strategy considered at the meeting on 22 April 2018. The first exception report features within the financial health check report at this evening's meeting.

- 2.2 The council's Leadership Team has reviewed and updated the content of the Strategic Risk Register for 2018/19. The proposed content can be found at **Essential Reference Paper 'B'**.
- 2.3 No new risks have been identified. Two risks have been deleted. No scores have changed although a few descriptions have been updated and these changes are summarised below:

2017/18 reference and summary of title		2018/19 reference and comment	
1a	Significant funding reduction	1a	Description updated
1b	Business rate income reduction	1b	No change
2	Capacity and capability to deliver services	2	Description updated
3	Supplier or contractor fails to deliver	3	No change
4	Alternate service delivery models	4	No change
5a	Data breach	5a	No change
5b	Cyber risk	5b	No change
6	Impact of Housing and Planning Act	N/a	Deleted as now considered to be an operational risk (that will

			be monitored by Leadership Team only)
7	District Plan	6	Description updated
8	Significant development proposals at strategic sites	7	No change
9	Digital East Herts	8	Budget shortfall added to description
10	Safeguarding children	9	No change
11	Safeguarding adults	10	No change
12	Economic vitality	11	Reference to economic vision added to description
13	Emergency planning	12	No change
14	Devolution	N/a	This risk was deleted during 2017/18 due to limited interest across the County
15	Referendum vote to leave EU	13	No change
16	Old River lane	14	No change

- 2.4 This report was considered at Performance, Audit and Governance Scrutiny Committee on 24 July 2018 and no comments or suggestions were made. It is likely that the leisure design, build, operate and maintain contract will be added to the Strategic Risk Register in the near future.
- 2.5 Leadership Team will soon develop and monitor a high-level operational risk register. Members will also be able to view these risks, including controls that have been introduced or are planned, on the performance management system.
- 3.0 Implications/Consultations
- 3.1 Information on any corporate issues and consultation associated with this report can be found within **Essential Reference Paper 'A'**.

Background Papers

None

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